



POLITICAL ACTION COMMITTEE  
HORSE PAC®

# 2020

## Horse PAC® Annual Report



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# FOREWORD

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This annual report summarizes the 2020 activities of Horse PAC®, the Federal Political Action Committee (PAC) of the National Thoroughbred Racing Association (NTRA). The Committee was activated in 2002 to promote and facilitate the accumulation of voluntary contributions from members of the NTRA and its subsidiaries and affiliates, for the support of political parties and candidates for elective office in the United States. As a multi-issue PAC, the Committee gives bipartisan support to federal political candidates who:

- Serve on Congressional committees that oversee livestock and agricultural issues, Internet gaming, taxation, labor, immigration, energy and commerce and the Interstate Horseracing Act
- Are established leaders
- Understand horse racing's issues
- Have racetracks, OTBs, ADW facilities, breeding farms, training centers and other equine venues in their districts
- Are members of the Congressional Horse Caucus
- Are emerging leaders meriting "early support"

# MESSAGE FROM THE HORSE PAC® PRESIDENT

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March 31, 2021

The NTRA has enjoyed a number of successes in Washington in recent years including the modernization of tax withholding and reporting that has put tens of millions of dollars back in the hands of horseplayers and federal tax reform that has stimulated new investment in Thoroughbred bloodstock.

We are especially pleased that, in 2020 after months of industry work to find a consensus approach to nationwide anti-doping and medication control as well as a national approach to racetrack safety, the Horseracing Integrity and Safety Act of 2020 (S. 4547) (HISA) was passed as part of Congress' \$900 billion COVID-relief package and \$1.4 trillion government funding package in a 5000+ page bill known as the Consolidated Appropriations Act, 2021 (the "Omnibus Act"). The Omnibus Act, which included HISA, was signed into law on Dec. 27, 2020. The passage of HISA was a top legislative priority for the NTRA in 2020 and its passage marks the beginning of a new era in horseracing.

Horse PAC® remains an important instrument in our NTRA legislative toolbox as we work with elected officials to further the equine economy. For an in-depth look at the year in Congress, please see the summary that begins on page 21.

Total contributions to Horse PAC® in 2020 reached \$154,700, slightly above last year's \$151,895. Seventy-eight NTRA members contributed to Horse PAC®, including some Twenty-one at the \$5000 Champions level. Next year, we expect yet another contentious mid-term election cycle making it more important than ever that the industry have the critical support of Horse PAC®. A list of donors begins on page 7.

As a complement to the NTRA's lobbying, grassroots communications and education efforts, Horse PAC® disbursed \$200,000 in 2020, which is a significant disbursement even for a contentious election year. Further, we anticipate a working budget of about \$202,500 for disbursements in 2021. This amount is consistent with our average giving over the past few election cycles. A list of all candidates and Leadership PACs supported in 2020 appears on page 11.

Since 2002, Horse PAC® has supported more than 250 candidates and disbursed over \$4 million. To our 2020 PAC donors and the Horse PAC® Board Directors, once again, we thank you. Your support will help ensure that our industry maintains a strong presence in Washington, D.C., and continues to build lasting relationships with our Congressional allies.

Sincerely,



Alexander M. Waldrop, Horse PAC® President

# 2020 HORSE PAC® BOARD OF DIRECTORS

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The Horse PAC® Board of Directors is composed of NTRA members representing diverse industry stakeholder groups and geography. Board members are appointed by the Horse PAC® President; the PAC's by-laws allow up to 25 members on the Board of Directors. Board members serve one-year, renewable terms.

Under the leadership of Chairman William S. Farish, Jr., the Board raises funds for Horse PAC® from NTRA members. The Board also approves PAC disbursements. The PAC President and NTRA staff provide support and direction for annual fund- raising programs and develop a plan for disbursements consultation with the NTRA's legislative team. The 2020 Board of Directors consisted of:



## **William S. Farish, Jr., Chairman**

George B. Bolton  
Keith Chamblin  
John C. Harris  
Wilhelmina McEwan  
Justin Nicholson  
Anne W. Poulson

Alexander M. Waldrop  
*President*

Amber Florence  
*Treasurer*

# THE NTRA LEGISLATIVE TEAM

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Under the direction of the NTRA President and CEO, Alexander M. Waldrop, the NTRA's legislative team advocates on behalf of the Thoroughbred racing industry in a number of areas, including racing, integrity and safety, COVID-19 relief, sports betting and Internet gaming, taxes and trade, immigration and guest worker issues, the Interstate Horseracing Act, the Farm Bill, anti-terrorism measures affecting consumers and major racing events, and equine health and welfare.



## **ALEX WALDROP**

Mr. Waldrop is the President and CEO of the NTRA, where he leads the Thoroughbred industry's national office responsible for matters pertaining to federal legislative advocacy, racing safety and integrity, marketing and promotion of the sport, group purchasing and management of issues of national significance to Thoroughbred racing. Mr. Waldrop

is Chair of the Racing Medication and Testing Consortium Board of Directors, a Trustee of the American Horse Council, and Chair of the American Horse Council Racing Advisory Committee. Mr. Waldrop spent 13 years with Churchill Downs Incorporated, as President and General Manager of Churchill Downs Racetrack from 1999 to 2002, as General Counsel from 1992 to 1998, and as senior vice president, Public Affairs from 2003 to 2004. He is also a former partner in the Louisville office of Wyatt Tarrant & Combs where he was the Founding Chair of the firm's Equine, Gaming & Entertainment Practice Group.

The NTRA's lobbyists, all based with the Alpine Group in Washington, D.C., include:



## **GREG MEANS**

Mr. Means brings over two decades of experience on Capitol Hill and as a lobbyist in Washington, D.C. As a founder of the Alpine Group, Mr. Means has assisted a variety of clients in numerous areas including energy and environment, Internet gaming, transportation, tax and trade and other issues.

Mr. Means assists clients in designing and executing grass-roots lobbying plans and in the development and execution of clients' political action committees. On Capitol Hill, Mr. Means served in several staff capacities for Representative Dennis E. Eckart (D-OH), a member of the powerful House of Representatives Energy and Commerce Committee, including four years as his Chief of Staff. Mr. Means also served as the Staff Director for a congressional subcommittee chaired by Congressman Eckart.

## THE NTRA LEGISLATIVE TEAM

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### **LAUREN DARLING BAZEL**

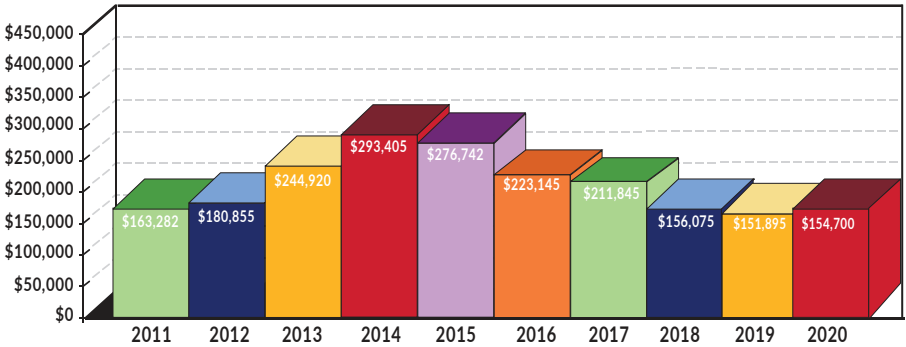
Before joining the Alpine Group, Ms. Bazel served as the Senior Tax Policy Advisor to Senator John Kerry (D-MA). Previously, she was the Associate Director for Government Affairs at the Center for American Progress (CAP), working with Members of Congress, the Executive Branch and outside organizations on policy and legislative strategies.

Before joining CAP, she was the Senior Advisor for Tax Policy to Senator Maria Cantwell (D-WA) and supported the Senator in her capacity as a member of the Senate Finance Committee and Senate Small Business Committee. Earlier in her career, Ms. Bazel lobbied on federal tax issues for Ernst & Young; she was also the Chief Tax Reporter for the *BNA Daily Tax Report*, responsible for coverage and analysis of federal budget and tax legislative issues.

# RECEIPTS

From inception through December 31, 2020, Horse PAC®s has raised \$4,560,803. The committee raised \$344,137 for the 2011/2012 election cycle, \$538,325 for the 2013/2014 election cycle, \$499,887 for the 2015/2016 election cycle, \$367,920 for the 2017/2018 election cycle, and \$306,595 for the 2019/2020 election cycle.

### HORSE PAC® RECEIPTS SINCE 2011





# 2020 HORSE PAC® CONTRIBUTORS

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## CHAMPIONS – \$5,000

Ramona Bass	William S. Farish	Carl F. Pollard
Cornelia Corbett	Hugh A. Fitzsimons, Jr.	Samantha Siegel
Adele B. Dilschneider	Deborah R. Hancock	Stella Ferguson Taylor
Jerry Durant	Seth Hancock	Bill Thomason
Edward K. Gaylord, II	Robert V. Lapenta	Kenny Troutt
H. Greg Goodman	Earle I. Mack	Lisa Troutt
Sarah Farish	Justin & Katie Nicholson	Charlotte Weber

## LEADERS – \$2,500 - \$4,999

John W. Amerman	Waddell W. Hancock II	Alex Waldrop
Keith Chamblin	John C. Harris	Kane C. Weiner
Peter Fluor	W. Bruce Lunsford	
Helen K. Groves	Larry Roth	

## WINNERS – \$1,000 - \$2,499

John H. Barr	Jeffrey & Margery Lewis	George Strawbridge, Jr.
Chester & Mary Broman, Sr.	Richard & Sue Ann Masson	Judy Wagner
Irving Cowan	William I. Mott	Eddie Woods
Marc Keller	Joe Brown Nicholson	
Richard & Sally Goodall	J. Michael O'Farrell, Jr.	

# 2020 HORSE PAC® CONTRIBUTORS

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## SUPPORTERS – UP TO \$999

Carl W. Asmus	Paula Haughey	Anne Poulson
Madeline Auerbach	Charles Hayward	Dr. J. David Richardson
Joe Bacigalupo	Russell B. Jones, Jr.	J. Kirk & Judy Robinson
James C. Brady	John Kovarik	Allen Schubert
Patricia Chapman	William & Sally Landes	Michael Simpson
Ellen MacNeille Charles	Wilhelmina McEwan	Gary P. Snoonian
Deborah A. Easter	Greg Means	Mark & Julia Taylor
Kip & Helen Elser	Maurleen V. Miller	James J. Toner
Dr. Carl Gessler	Leverett & Linda Miller	Robert M. Watt III
Edward Glasscock	Nick & Susan Nicholson	Ward Williford
David Gordon	William Parsons, Jr.	
Curtis & Linda Green	Craig Peretz	

## NTRA ADVANTAGE EQUINE DISCOUNTS

Contributors to Horse PAC® receive exclusive equine discounts on programs and services from nationally known companies like John Deere, Big Ass Fans, Sherwin-Williams, Office Depot and more through NTRA Advantage Equine Discounts.

When you use NTRA Advantage Equine Discounts, a portion of your purchase price goes to support the equine industry. To enjoy the benefits call toll-free **(866) 678-4289** or visit **[www.equinediscounts.com](http://www.equinediscounts.com)** before you buy!

## 2020 HORSE PAC® DISBURSEMENTS

Federal Candidates by Amount\*

State	Amount	Rank
KY	\$40,000.00	1
CA	\$24,500.00	2
NJ	\$22,500.00	3
NY	\$17,500.00	4
OR	\$15,000.00	5
FL	\$15,000.00	6
MA	\$10,000.00	7
TX	\$10,000.00	8
IN	\$5,000.00	9
WI	\$5,000.00	10
PA	\$3,500.00	11
IL	\$3,500.00	12
DE	\$2,500.00	13
MT	\$2,500.00	14
NV	\$2,500.00	15
RI	\$2,500.00	16
SC	\$2,500.00	17
WA	\$1,000.00	18
<b>TOTAL</b>	<b>\$185,000.00</b>	

\* Excludes disbursements to political committees that are not associated with individual candidates.

Federal Candidates by State\*

State	Amount	Rank
CA	\$24,500.00	2
DE	\$2,500.00	13
FL	\$15,000.00	6
IL	\$3,500.00	12
IN	\$5,000.00	9
KY	\$40,000.00	1
MA	\$10,000.00	7
MT	\$2,500.00	14
NJ	\$22,500.00	3
NV	\$2,500.00	15
NY	\$17,500.00	4
OR	\$15,000.00	5
PA	\$3,500.00	11
RI	\$2,500.00	16
SC	\$2,500.00	17
TX	\$10,000.00	8
WA	\$1,000.00	18
WI	\$5,000.00	10
<b>TOTAL</b>	<b>\$185,000.00</b>	

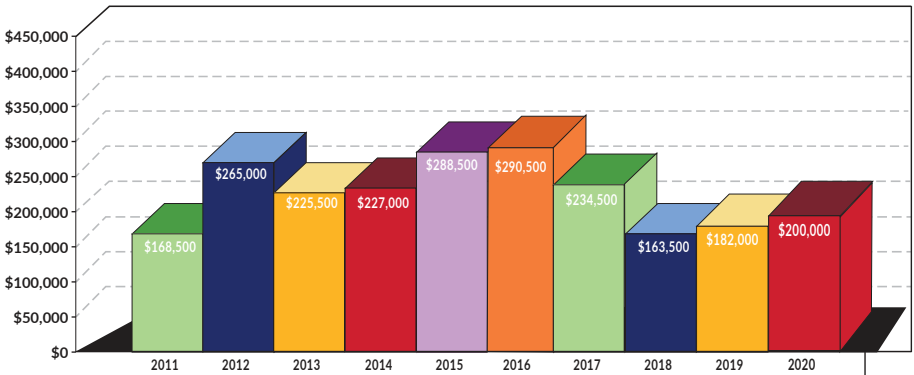
\* Excludes disbursements to political committees that are not associated with individual candidates.

# 2020 HORSE PAC® DISBURSEMENTS

<b>Federal Contributions:</b>	<b>\$200,000</b>
House	\$150,000
Senate	\$50,000
Democratic	\$119,000
Republican	\$81,000

From Inception through December 31, 2020, Horse PAC has disbursed \$4,472,879. The committee disbursed \$438,500 for the 2011/2012 election cycle, \$452,500 for the 2013/2014 election cycle, \$579,000 for the 2015/2016 election cycle \$398,000 for the 2017/2018 election cycle and \$382,000 for the 2019/2020 election cycle.

HORSE PAC® DISBURSEMENTS SINCE 2011



**Total Disbursements to Date**

**\$4,472,879**

# 2020 HORSE PAC® DISBURSEMENTS

The following candidates and political committees received Horse PAC® funds in 2020:

## United States House of Representatives

House of Reps	Party	State	Re-election Year	2020 Disbursed	Committees
Jodey Arrington	R	TX	2022	\$2,500	Ways & Means
Andy Barr	R	KY	2022	\$5,000	Financial Services, CHC Co-Chair, Veterans Affairs
Mike Burgess	R	TX	2022	\$2,500	Energy & Commerce, Rules, CHC
Cheri Bustos	D	IL	2022	\$2,500	Agriculture, Appropriations
Matt Cartwright	D	PA	2022	\$2,500	Appropriations
James Comer	R	KY	2022	\$5,000	Agriculture, Education & Labor
Jim Costa	D	CA	2022	\$2,500	Agriculture, Foreign Affairs
Ted Deutch	D	FL	2022	\$2,500	Judiciary, Foreign Affairs, Ethics (Chairman)
Josh Gottheimer	D	NJ	2022	\$5,000	Financial Services
Brian Higgins	D	NY	2022	\$2,500	Ways & Means, Homeland Security, Budget
Ron Kind	D	WI	2022	\$5,000	Ways & Means
Darin LaHood	R	IL	2022	\$1,000	Ways & Means
Kevin McCarthy	R	CA	2022	\$5,000	Minority Leader
Stephanie Murphy	D	FL	2022	\$5,000	Ways & Means
Richard E. Neal	D	MA	2022	\$5,000	Ways & Means (Chairman)
Donald Norcross	D	NJ	2022	\$5,000	Education & Labor, Armed Services
Frank Pallone	D	NJ	2022	\$5,000	Energy & Commerce (Chairman), CHC
Jimmy Panetta	D	CA	2022	\$2,500	Ways & Means
Bill Pascrell	D	NJ	2022	\$2,500	Ways & Means, CHC

*Continued on next page*

# 2020 HORSE PAC® DISBURSEMENTS

## United States House of Representatives (continued)

House of Reps	Party	State	Re-election Year	2020 Disbursed	Committees
Scott Peters	D	CA	2022	\$2,500	Energy & Commerce
Tom Reed	R	NY	2022	\$5,000	Ways & Means
Linda Sanchez	D	CA	2022	\$5,000	Ways & Means
Adam Schiff	D	CA	2022	\$2,000	Intelligence (Chairman)
Kurt Schrader	D	OR	2022	\$5,000	Energy & Commerce
Darren Soto	D	FL	2022	\$5,000	Energy & Commerce
Elise Stefanik	R	NY	2022	\$2,500	Education & Labor, Armed Services
Paul Tonko	D	NY	2022	\$5,000	Energy & Commerce, CHC Co-Chair
Jackie Walorski	R	IN	2022	\$5,000	Ways & Means
John Yarmuth	D	KY	2022	\$5,000	Budget (Chairman), CHC
<b>TOTAL House of Reps</b>				<b>\$110,500</b>	

## United States Senate

Senate	Party	State	Re-election Year	2020 Disbursed	Committees
Chris Coons	D	DE	2026	\$2,500	Appropriations, Judiciary
John Cornyn	R	TX	2026	\$5,000	Alamo PAC, Finance, Judiciary
Catherine Cortez Masto	D	NV	2022	\$2,500	Indian Affairs, Finance
Mike Crapo	R	ID	2022	\$2,500	Finance, Judiciary
NRSC	R	n/a	n/a	\$15,000	National Republican Senatorial Committee
Ron Wyden	D	OR	2022	\$5,000	Finance, Energy & Natural Resources
<b>TOTAL Senate</b>				<b>\$32,500</b>	

# 2020 HORSE PAC® DISBURSEMENTS

## Federal Leadership PACs

Associated Candidate	Party	State	2020 Actual Leadership PAC	Committees
Andy Barr	R	KY	\$5,000	Financial Services, CHC Co-Chair, Veterans Affairs
Matt Cartwright	D	PA	\$1,000	Appropriations
Suzan Delbene	D	WA	\$1,000	Ways & Means
Brett Guthrie	R	KY	\$5,000	Energy & Commerce, CHC, Education & Labor
Hakeem Jeffries	D	NY	\$2,500	Judiciary
Richard E. Neal	D	MA	\$5,000	Ways & Means (Chairman)
Frank Pallone	D	NJ	\$5,000	Energy & Commerce (Chairman), CHC
Hal Rogers	R	KY	\$5,000	Appropriations, CHC
Mike Thompson	D	CA	\$5,000	Ways & Means
John Yarmuth	D	KY	\$5,000	Budget (Chairman), CHC
Lindsey Graham	R	SC	\$2,500	Judiciary, Foreign Relations
Mitch McConnell	R	KY	\$5,000	Appropriations, Agriculture, Bluegrass Committee, Senate Majority Leader
Marco Rubio	R	FL	\$2,500	Foreign Relations, Appropriations
Jon Tester	D	MT	\$2,500	Appropriations, Commerce
Ron Wyden	D	OR	\$5,000	Finance, Energy & Natural Resources
<b>GRAND TOTAL</b>			<b>\$57,000</b>	

**Leadership PACs** enable politicians to donate to other candidates, thereby building relationships with their peers. These relationships are critical to Members of Congress who may be seeking a leadership position in Congress, a higher office, or greater leverage within their own party as they demonstrate their fund-raising ability. Candidates who form Leadership PACs are signaling their intent to build their political influence. Leadership PACs also provide a way for candidates to fund their travel, office expenses, consultants, polling and other non-campaign expenses.

# 2020 HORSE PAC® DISBURSEMENTS BY COMMITTEE

Most Horse PAC®-supported candidates serve on one or more committees that oversee the industry. Following is a list of key committees for racing and the candidates on those committees that Horse PAC® supported in 2020. Additionally, the PAC supports candidates who understand racing's issues, have racetracks and other racing-related industries in their districts, serve in leadership roles, are members of Congressional caucuses important to our industry or are emerging leaders meriting early support. Candidates are evaluated on their individual merits.

## Key Congressional Committees for the Thoroughbred Industry

Committee	House/Senate	Issue(s)
Agriculture	House and Senate	Livestock, equine identification, Farm Bill
Appropriations	House and Senate	Bills serve as vehicles for other provisions
Banking	Senate	Internet gaming
Budget	House and Senate	Federal spending and revenue framework
Commerce, Science & Transportation	Senate	Interstate Horseracing Act; general oversight for professional sports, including medication issues
Education & Labor	House	Worker health and safety; job training; employer/employee relations
Energy & Commerce	House	Interstate Horseracing Act; general oversight for professional sports, including medication issues
Finance	Senate	Taxes and trade
Financial Services	House	Internet gaming
Homeland Security	House and Senate	Security for major racing events; anti-terrorism measures affecting consumers; immigration
Judiciary	House and Senate	Internet gaming, immigration
Ways & Means	House	Taxes and trade



# 2020 HORSE PAC® COMMITTEE NUMBERS

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## AGRICULTURE

### Agriculture Committee (House of Representatives)

- 46 Members/21 Republicans, 25 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported three members of the House Agriculture Committee: Cheri Bustos (D-IL), James Comer (R-KY) and Jim Costa (D-CA).

### Agriculture, Nutrition and Forestry (Senate)

- 20 Members/11 Republicans, 9 Democrats

Through his re-elect campaign and/or Leadership PACs, in 2020, Horse PAC® supported one member of the Senate Agriculture Committee: Mitch McConnell (R-KY).

## APPROPRIATIONS

### Appropriations (House of Representatives)

- 53 Members/23 Republicans, 30 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported three members of the House Appropriations Committee: Cheri Bustos (D-IL), Matt Cartwright (D-PA) and Hal Rogers (R-KY).

### Appropriations (Senate)

- 31 Members/16 Republicans, 15 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported four members of the Senate Appropriations Committee: Chris Coons (D-DE), Mitch McConnell (R-KY), Marco Rubio (R-FL) and Jon Tester (D-MT).

## BANKING

### Banking, Housing and Urban Affairs (Senate)

- 25 Members/13 Republicans, 12 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported two members of the Senate Banking Committee: Mike Crapo (R-ID) and Jon Tester (D-MT).

# 2020 HORSE PAC® COMMITTEE NUMBERS

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## **BUDGET**

### **Budget Committee (House of Representatives)**

- 33 Members/11 Republicans, 22 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported two members of the House Budget Committee: Brian Higgins (D-NY) and Chairman John Yarmuth (D-KY).

## **COMMERCE**

### **Energy and Commerce Committee (House of Representatives)**

- 55 Members/24 Republicans, 31 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported seven members of the House Energy and Commerce Committee: Mike Burgess (R-TX), Brett Guthrie (R-KY), Committee Chairman Frank Pallone (D-NJ), Scott Peters (D-CA), Kurt Schrader (D-OR), Darren Soto (D-FL) and Paul Tonko (D-NY).

### **Commerce, Science and Transportation (Senate)**

- 26 Members/ 14 Republicans, 12 Democrats

Through his re-elect campaign and/or Leadership PAC, in 2020 Horse PAC® supported one member of the Senate Commerce Committee: Jon Tester (D-MT).

## **EDUCATION AND LABOR**

### **Education and the Workforce Committee (House of Representatives)**

- 44 Members/18 Republicans, 26 Democrats

Through their re-elect campaign and/or Leadership PAC, in 2020 Horse PAC® supported two members of the House Education and the Workforce Committee: Donald Norcross (D-NJ), Elise Stefanik (R-NY).

# 2020 HORSE PAC® COMMITTEE NUMBERS

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## FINANCE

### Finance Committee (Senate)

- 28 Members/15 Republicans, 13 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported four members of the Senate Finance Committee: John Cornyn (R-TX), Catherine Cortez Masto (D-NV), Mike Crapo (R-ID) and Ron Wyden (D-OR).

## FINANCIAL SERVICES

### Financial Services (House of Representatives)

- 60 Members/26 Republicans, 34 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported two members of the House Financial Services Committee: Andy Barr (R-KY) and Josh Gottheimer (D-NJ).

## HOMELAND SECURITY

### Homeland Security (House of Representatives)

- 31 Members/13 Republicans, 18 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported no members of the House Homeland Security Committee.

### Homeland Security and Government Affairs (Senate)

- 14 Members/8 Republicans, 6 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported no members of the Senate Homeland Security Committee.

## INTELLIGENCE

### Permanent Select Committee on Intelligence (House of Representatives)

- 18 Members/6 Republicans, 12 Democrats

Through his re-elect campaign and/or Leadership PAC, in 2020 Horse PAC® supported one member of the House Permanent Select Committee on Intelligence: Chairman Adam Schiff (D-CA).

# 2020 HORSE PAC® COMMITTEE NUMBERS

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## **JUDICIARY**

### **Judiciary Committee (House of Representatives)**

- 41 Members/17 Republicans, 24 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported two members of the House Judiciary Committee: Ted Deutch (D-FL) and Hakeem Jeffries (D-NY).

### **Judiciary Committee (Senate)**

- 22 Members/12 Republicans, 10 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported four members of the Senate Judiciary Committee: Chris Coons (D-DE), John Cornyn (R-TX), Mike Crapo (R-ID) and Lindsey Graham (R-SC).

## **WAYS AND MEANS**

### **Ways and Means (House of Representatives)**

- 42 Members/17 Republicans, 25 Democrats

Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported 13 members of the House Ways and Means Committee: Jodey Arrington (R-TX), Suzan DelBene (D-WA), Brian Higgins (D-NY), Ron Kind (D-WI), Darin LaHood (D-IL), Stephanie Murphy (D-FL), Committee Chairman Richard E. Neal (D-MA), Jimmy Panetta (D-CA), Bill Pascrell (D-NJ), Tom Reed (R-NY), Linda Sanchez (D-CA), Mike Thompson (D-CA) and Jackie Walorski (R-IN).

## **HOUSE AND SENATE LEADERSHIP**

Horse PAC® supports candidates who serve in leadership capacities within their respective parties. Through their re-elect campaigns and/or Leadership PACs, in 2020 Horse PAC® supported the following House and Senate leaders:

### **House**

Kevin McCarthy (R-CA), Minority Leader

### **Senate**

Mitch McConnell (R-KY), Majority Leader

## 2021 OUTLOOK

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2021 brings a new political landscape following the 2020 elections. As you know, President Biden brings a new Democratic Administration to town accompanied by very narrow Democratic majorities in both the House of Representatives and the Senate. An overwhelming majority of the candidates supported by Horse PAC® in 2020 also prevailed ensuring that our voice will continue to be heard on issues important to our industry. The response to the pandemic will continue to be the focus of the Administration and the Congress this year along with issues aimed at boosting the economy. Multi-trillion-dollar infrastructure plans will now be the focus of the Administration as it seeks to create jobs, aid the economy and address other issues like climate change. Last year was a busy year for the NTRA legislative team working on the passage of the Horseracing Integrity and Safety Act, extension of the accelerated depreciation for thoroughbreds and ensuring the ability of our industry to obtain various forms of aid created for the pandemic response. This year we will continue to focus on the implementation of HISA as well as tax policy as tax issues will draw much attention as part of the economic recovery plans. Immigration will also be a focus as the new Administration adopts new approaches to foreign workers and others important to us. Our PAC strategy this year will not vary from our past efforts as we continue to focus on those candidates that serve on committees of importance to our legislative agenda as well as those members that hail from key horseracing areas and who have demonstrated interest in our industry and our issues. For updates on NTRA's legislative policy and programs in 2021, visit [SupportHorseRacing.org](https://SupportHorseRacing.org).

# SCORECARD FOR HORSE PAC®

## 2020 General Election

HOUSE OF REPRESENTATIVES			
Candidate	State	Result	W/L%
Costa, Jim	CA	W	61%
Gomez, Jimmy	CA	W	53%
McCarthy, Kevin	CA	W	58%
Nunes, Devin	CA	W	54%
Panetta, Jimmy	CA	W	78%
Peters, Scott	CA	W	63%
Sanchez, Linda	CA	W	75%
Schiff, Adam	CA	W	74%
Thompson, Mike	CA	W	79%
Buchanan, Vern	FL	W	56%
Deutch, Ted	FL	W	59%
Murphy, Stephanie	FL	W	55%
Soto, Darren	FL	W	56%
Bustos, Cheri	IL	W	52%
LaHood, Darin	IL	W	71%
Schakowsky, Jan	IL	W	68%
Walorski, Jackie	IN	W	65%
Barr, Andy	KY	W	58%
Comer, Jamie	KY	W	75%
Guthrie, Brett	KY	W	71%
Rogers, Hal	KY	W	84%
Yarmuth, John	KY	W	62%
Scalise, Steve	LA	W	72%
Neal, Richie	MA	W	NC
Smith, Adrian	NE	W	79%
Gottheimer, Josh	NJ	W	58%
Norcross, Donald	NJ	W	65%
Pallone, Frank	NJ	W	67%
Pascrell, Bill	NJ	W	67%
Higgins, Brian	NY	W	60%
Jeffries, Hakeem	NY	W	83%
Reed, Tom	NY	W	63%
Stefanik, Elise	NY	W	65%
Suozi, Thomas	NY	L	51%
Tonko, Paul	NY	W	56%
Schrader, Kurt	OR	W	54%
Boyle, Brendan	PA	W	67%
Cartwright, Matt	PA	W	52%
Doyle, Mike	PA	W	65%
Kelly, Mike	PA	W	67%
Arrington, Jodey	TX	W	75%
Brady, Kevin	TX	W	72%
Burgess, Michael	TX	W	61%
Delbene, Suzan	WA	W	61%
Heck, Denny	WA	W	62%
Kind, Ron	WI	W	51%

SCORECARD KEY	
DEMOCRAT = BOLD	NC = NO CHALLENGER
W = WIN	L = LOSS
W/L% = MARGIN OF WIN OR LOSS	NIC = NOT IN CYCLE

SENATE			
Candidate	State	Result	W/L%
Coons, Chris	DE	W	60%
McConnell, Mitch	KY	W	58%
Graham, Lindsey	SC	W	55%
Cornyn, John	TX	W	54%
Warner, Mark	VA	W	56%

OTHER CANDIDATES/PACS			
Candidate/PAC	State	Result	W/L%
Boozman, John	AR	NIC	NIC
Cardin, Ben	MD	NIC	NIC
Casey, Bob	PA	NIC	NIC
Cortez Masto, Catherine	NM	NIC	NIC
Crapo, Mike	ID	NIC	NIC
Lankford, Jim	OK	NIC	NIC
Murray, Patty	WA	NIC	NIC
Rubio, Marco	FL	NIC	NIC
Schumer, Chuck	NY	NIC	NIC
Tester, Jon	MT	NIC	NIC
Wyden, Ron	OR	NIC	NIC
National Republican Congressional Committee	N/A	NIC	NIC
National Republican Senatorial Committee	N/A	NIC	NIC

Candidates received support in 2019 and/or 2020

ELECTION SUMMARY FOR IN-CYCLE CANDIDATES
House - 46 Supported 45 Wins - 97.8% Win Rate
Senate - 5 Supported 5 Wins - 100% Win Rate
All Candidates - 51 Supported 50 Wins - 98% Win Rate

## 2021 LEGISLATIVE SUMMARY

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As the trade association for the Thoroughbred industry, NTRA focuses its lobbying on legislation having a direct and material effect on its members and their business activities as they relate to horse racing, breeding and pari-mutuel wagering. With the American Horse Council (AHC), the NTRA also supports Congressional education programs on issues such as agricultural matters and immigration, which affect the horse industry as a whole. Below is a summary of the major legislative issues for horse racing in the first half of the 2021/2022 Congress.

### **Horseracing Integrity and Safety Act—Passed**

At the end of last year, after months of industry work to find a consensus approach to nationwide anti-doping and medication control as well as a national approach to racetrack safety, an amended and expanded version of the Horseracing Integrity Act of 2019 (H.R. 1754) entitled the Horseracing Integrity and Safety Act of 2020 (S. 4547) (HISA) was introduced on September 9, 2020, by Senate Majority Leader Mitch McConnell (R-KY). On that same day, H.R. 1754 was amended with a committee substitute mirroring the language of S. 4547. The full House passed H.R. 1754 on September 29, 2020 and sent it to the Senate for consideration. On December 21, 2020, on a 359-53 vote in the House and 92-6 in the Senate, Congress passed a \$900 billion COVID-relief package and \$1.4 trillion government funding package in a 5000+ page bill known as the Consolidated Appropriations Act, 2021 (the “Omnibus Act”). The Omnibus Act incorporated the full text of S. 4547. The president signed the measure into law on Dec. 27.

HISA calls for the creation of a private, independent, self-regulatory entity for the purposes of developing and implementing the horseracing anti-doping and medication control program and the racetrack safety program known as the Horseracing Integrity and Safety Authority (the “Authority”). The Board of Directors of the Authority (“Board”) will be comprised of 9 members: 5 independent members and 4 industry members. All Board members will be subject to strict conflict of interest rules.

There will be 3 standing committees that will be established at the outset of the development of the Authority—the Anti-Doping and Medication Control Standing Committee, the Racetrack Safety Standing Committee, and the Nominating Standing Committee. Each standing committee will be made up of seven members. The Anti-Doping and Medication Control Standing Committee and the Racetrack Safety Standing Committee will provide advice and guidance to the board on the development of rules and regulations under the Authority’s jurisdiction. The Nominating Standing Committee will be responsible for nominating the initial Board and recommending nominees for Board and Standing Committee positions going forward.

## 2021 LEGISLATIVE SUMMARY

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The Federal Trade Commission (the “Commission”) will have oversight of the Authority. The Authority will submit to the Commission any proposed rules and modifications to rules and the Commission shall publish the proposed rule and provide interested persons opportunity to comment. The Commission shall approve a proposed rule if it finds that it is consistent with the requirements of the HISA and the rules and regulations of the Commission.

The Authority will contract with the U.S. Anti-Doping Agency (“USADA”) for services consistent with the horseracing anti-doping and medication control program for the initial 5 years of the program. Recommendations for the anti-doping and medication control program shall be developed by the anti-doping and medication control standing committee, in consultation with USADA. State racing commissions will still have a role under the Act. For example, the Authority may contract with state racing commissions regarding enforcement activities for the racetrack safety program and, with the concurrence of USADA or its successor, the anti-doping and medication control program.

There is a prohibition on the administration of any prohibited substance to a horse within 48 hours of its next racing start; however, state racing commissions may request an exemption for a period of 3 years from the effective date of the Authority on a furosemide restriction except for two-year-old races and stakes races. The Authority shall convene an advisory committee to conduct a study on the use of furosemide on horses during the 48-hour period before the start of a race. The report must be submitted to the Authority within 3 years following the program effective date. Following the study, the Authority may, by unanimous vote of the Board, choose to modify, subject to established criteria, the prohibition of substances within the 48-hour period leading up to covered races.

In the development of the horseracing safety program, the Authority and the Commission shall take into consideration existing safety standards. The Authority shall also develop and maintain a nationwide database of racehorse safety, performance, health, and injury information.

Using procedures established by the Authority, the Authority will identify violations of safety and/or medication standards, investigate any such violations, determine if a violation occurred and sanction a track, jockey, breeder, or owner (stewards’ hearing or the equivalent). Within 30 days of the notice being filed with the Commission, the sanctioned party may petition for a review of the Authority’s decision. An administrative law judge within the Commission conducts a hearing and renders a decision within 60 days. The Commission may, on its own motion, review any decision of the ALJ by giving notice thereof to the Authority and interested parties.



## 2021 LEGISLATIVE SUMMARY

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### **Three-year Depreciation of Racehorses – Matching Deductions with Income**

In the 2008 Farm Bill, NTRA secured a provision that allowed for the uniform and accelerated depreciation of Thoroughbreds. The provision subsequently carried forward in the 2015 Protecting Americans from Tax Hikes (PATH) Act, which extended three-year tax depreciation for all racehorses through 2016. That provision, along with dozens of others, was extended to 2017 on February 9, 2018, when President Trump signed into law the Bipartisan Budget Act, a bill that among other measures extended uniform three-year racehorse depreciation retroactively for 2017.

Unfortunately, Congress did not renew three-year depreciation for 2018 as part of the Tax Cuts and Jobs Act (TCJA) passed in December 2017, which meant a seven-year schedule would now apply to yearlings in some cases. The TCJA did include 100% bonus depreciation and a \$1 million Section 179 expense allowance for qualified depreciable property, two important investment incentives that lessened the need for three-year depreciation in many cases. However, three-year depreciation continued to be a beneficial option for many owners of young race horses.

Three-year depreciation benefits horse owners because many are not eligible for Section 179 expensing due to the net income requirement and choose to elect out of the 100% bonus depreciation. One of the main reasons to elect out of the bonus depreciation is to better match the deductions with corresponding income. A 3-year life (depreciated over a 4-year period) is more realistic for a horse's racing career versus the 7-year life (depreciated over 8 years). Three-year depreciation is valuable to racehorse owners, especially racing partnerships with multiple passive owners, as it better aligns deductions with corresponding income opportunities on an annual basis.

After passage of the TCJA, the horse industry now relies upon legislation known as tax extenders, which is an annual bill used to renew dozens of temporary or expiring tax provisions for individuals and businesses at the end of the year. It is now typical that one of the extender provisions is three-year depreciation of racehorses. As we have done several times over the past few years, the NTRA, the American Horse Council (AHC) and many others representing diverse business, energy, transportation, real estate and agriculture sectors, sought a legislative vehicle to address tax extenders in 2020. We asked that the House and Senate retroactively extend three-year depreciation through 2021. In December, the Consolidated Appropriations Act of 2021 (the "Omnibus Act") became law, which included among its many sections a section that extended several individual and business federal income tax provisions including three-year tax depreciation for all racehorses through the end of 2021.

## 2021 LEGISLATIVE SUMMARY

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Because three-year depreciation is important for horseracing, the NTRA will continue to advocate for passage of extenders in 2022 as we work toward a longer-term or permanent legislative resolution.

### **Sports Betting—the Next Frontier**

In May of 2018, the U.S. Supreme Court overturned the Professional and Amateur Sports Protection Act (PASPA) in the landmark *Murphy v. National Collegiate Athletic Association* (NCAA) decision. Previously, PASPA banned all but 4 states from legalizing sports gambling. Several states have acted quickly to implement sports gambling at the state level. At the federal level, during the final days of 2018, Sens. Orrin Hatch (R-UT) and Chuck Schumer (D-NY), introduced the “Sports Wagering Market Integrity Act of 2018,” a bill designed to grant significant federal control over sports betting nationwide. The proposal’s central feature is a provision giving the U.S. Department of Justice authority to approve or deny a state sports betting program.

While no sports betting legislation has been introduced in the 117th Congress, the NTRA continues to assess the potential threats and opportunities that such legislation would present and is engaged in conversations with its Congressional allies and other stakeholders, notably the American Gaming Association (AGA), which supported a full repeal of PASPA. We remain on the lookout for activity on Capitol Hill regarding this matter in 2021. The NTRA plans to be at the table as it has been on all previous Internet and sports betting issues for the past 15 years.

### **Credit Cards—ADW’s Lifeline Endangered**

After the industry secured an amendment to the Interstate Horseracing Act (IHA) in 2000 to enable online wagering, advance deposit wagering (ADW) has grown to roughly \$4 billion, accounting for almost 40% percent of U.S. pari-mutuel handle.

Since the latter half of 2017, our Congressional allies have met with representatives from four major credit card issuing banks who have disallowed ADW transactions on their cards, stressing the importance of the ADW sector and the economic significance of the equine industry.

In 2018, the NTRA announced that JPMorgan Chase & Co., the largest bank in the United States and the largest credit card issuer in the U.S. with more than 80 million active credit cardholder accounts, has begun approving credit card deposit transactions with U.S. licensed and regulated advance deposit wagering companies.

The decision by Chase to accept its cardholders’ account funding transactions with ADWs approved to use the industry’s 7802 merchant category code is believed

## 2021 LEGISLATIVE SUMMARY

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to have had a significant and positive impact on overall U.S. pari-mutuel handle, particularly advance deposit wagering, which already is the fastest growing segment of the U.S. pari-mutuel wagering market.

Working with our allies on Capitol Hill, in 2019 NTRA and its allies on the Hill are using Chase's leadership on this issue to convince other leading credit card issuing banks to accept these types of transactions. NTRA and its allies on the Hill are using Chase's leadership on this issue to convince other leading credit card issuing banks to accept these types of transactions. The NTRA will continue its advocacy efforts on behalf of industry ADWs in 2021. NTRA will also seek a legislative solution in the form of language to clarify that the Wire Act of 1961 (aimed at racketeering) does not apply to transactions made permissible by the IHA. Such an opportunity may present itself in any bills related to sports betting (see above).

### **Immigration—H-2B Visa Caps are Too Low**

Once again in Fiscal Year 2021 (October 1, 2020-September 30, 2021), a key objective of the horse racing industry and many other industries is to insert language into the Department of Homeland Security ("DHS") appropriations section of the FY2021 Appropriations Bills to provide temporary relief from the lack of a sufficient number of H-2B visas to meet the needs of employers nationwide. This lack of visas is the result of a numerical limit, or "cap," on the total number of foreign nationals who may be issued an H-2B visa or otherwise granted H-2B status during a fiscal year.

Many foreign workers are directly responsible for the care of the horses upon which the entire horse racing industry is dependent. Without these workers to raise, train, and care for the industry's racehorses, many other jobs held by Americans not only in the horse racing industry but also supported by the horse racing industry will be in jeopardy. Horse trainers rely upon the H-2B visa for seasonal foreign workers because U.S. workers decline to fill lower level backstretch jobs. Even though the program's caps are perennially insufficient to meet the need and the program has very strict timelines and evidentiary requirements, trainers use the program because it is the only nonimmigrant foreign worker program available to fill racetrack backstretch jobs.

Currently, Congress has set the H-2B cap at 66,000 per fiscal year, with 33,000 for workers who begin employment in the first half of the fiscal year (October 1 - March 31) and 33,000 for workers who begin employment in the second half of the fiscal year (April 1 - September 30). Any unused visas from the first half of the fiscal year are carried over to the second half of the fiscal year. Unused H-2B numbers from one fiscal year do not carry over into the next fiscal year. See 8 U.S.C. 1184(g)(1)(B), (g)(10).

## 2021 LEGISLATIVE SUMMARY

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In December of 2020, the Consolidated Appropriations Act of 2021 became law and included a provision that provides the DHS with the discretionary authority to release as many as 64,716 additional H-2B visas in the 2nd half of fiscal year 2021, which extends through September 30, when sufficient need is demonstrated.

Regarding the question of sufficient need, the U.S. Department of Homeland Security (DHS) announced in February that it had received enough H-2B worker petitions by February 12 to reach the congressionally mandated H-2B visa cap of 33,000 visas for the 2nd half of fiscal year 2021. As of the date of this report, the NTRA and other equine associations like the AHC, through our involvement with the H-2B Workforce Coalition, are actively supporting ongoing efforts to make the 64,716 additional H-2B visas immediately available to seasonal businesses struggling with labor issues including Thoroughbred trainers.

On March 17 of this 2021, newly-appointed Secretary Mayorkas appeared before the House Department of Homeland Security committee and stated that he is studying the program and considering whether the agency should release additional visas. Mayorkas also stated that he believed that changes were needed in the H-2B program, but acknowledged they wouldn't "be accomplished in short order."

Going forward, the NTRA will continue to advocate for racing's interests in maintaining access to qualified foreign labor when domestic workers are unavailable.

**Special thanks to Founding Supporters  
who have given to Horse PAC®  
every year since its inception:**

John C. Harris

And to Samantha Siegel, who continues the legacy  
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